

R.T. Jones Capital Equities Management, Inc.
Customer Relationship Summary
June 30, 2020

Introduction

R.T. Jones Capital Equities Management, Inc. is registered with the Securities and Exchange Commission as an Investment Adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors, managing client retirement and individual accounts on a discretionary basis using our proprietary Artesys investment models. The Artesys investment models invest exclusively in mutual funds and exchange-traded funds (“ETFs”). We do not invest client accounts in other investments.

We informally review each Artesys investment model on a periodic basis to ensure that it continues to conform to its respective investment mandate. As part of our services, we monitor and review client accounts at least quarterly, and periodically rebalance accounts to bring them back to the asset allocation mix of the applicable Artesys model. You can contact us to impose certain allowable restrictions on the management of your account, subject to our right to reject management of your account if any restriction is inconsistent with our stated strategy, philosophy, or the operation of the selected model.

For additional information, please see Items 4, 7 and 8 of our [Form ADV, Part 2A Brochure](#).

Conversation Starters:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

If you engage us to provide investment advisory services to your account, we will charge your account an annual, asset-based fee. We will deduct this fee from your account at or around the end of each calendar quarter. Because we charge an asset-based fee, the greater the value of your account, the more you will pay in management fees. We therefore have an incentive to encourage you to increase the amount of assets in your account.

Mutual funds and ETFs in which your assets may be invested charge additional management fees and other expenses. Additionally, your account may be charged a custody fee by the custodian, or other administrative fee, depending on where the assets are held.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see Item 5 of our [Form ADV, Part 2A Brochure](#).

Conversation Starter: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Because we charge your account an asset-based fee, we have an incentive to encourage you to make additional deposits into your account. If your account is a retirement or pension account, we may also receive compensation from the retirement plan or the plan sponsor for providing services to the plan.

Conversation Starter: How might your conflicts of interest affect me, and how will you address them?

For additional information, please see Items 10, 11 and 14 of our [Form ADV, Part 2A Brochure](#).

How do your financial professionals make money?

Our financial professionals receive a regular salary, and are eligible for discretionary bonus compensation. Our financial professionals are compensated, in part, based on the amount of client assets they service. This presents a potential conflict of interest in that our professionals are incentivized to encourage you to increase the amount of assets in your account(s) managed by us.

“Do you or your financial professionals have legal or disciplinary history?”

Yes. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starter: How might your conflicts of interest affect me, and how will you address them?

Additional Information

You can obtain additional information about our services, and a free copy of our latest [Customer Relationship Summary and Form ADV, Part 2A Brochure](#), by visiting our website at artesysonline.com or by calling us at 314-783-5000.

Conversation Starter: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?